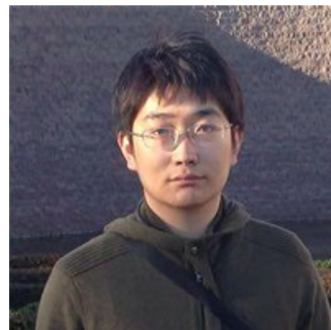


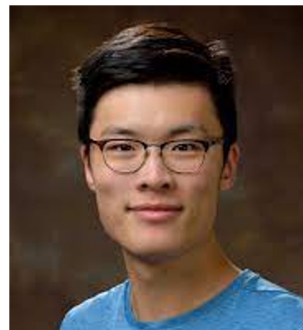
## Indirectly Supervised Natural Language Processing



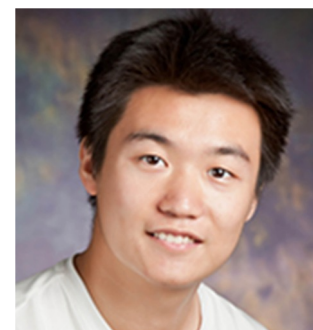
Wenpeng Yin



Muhao Chen



Ben Zhou



Qiang Ning



Kai-Wei Chang



Dan Roth

July 9, 2023

ACL Tutorials

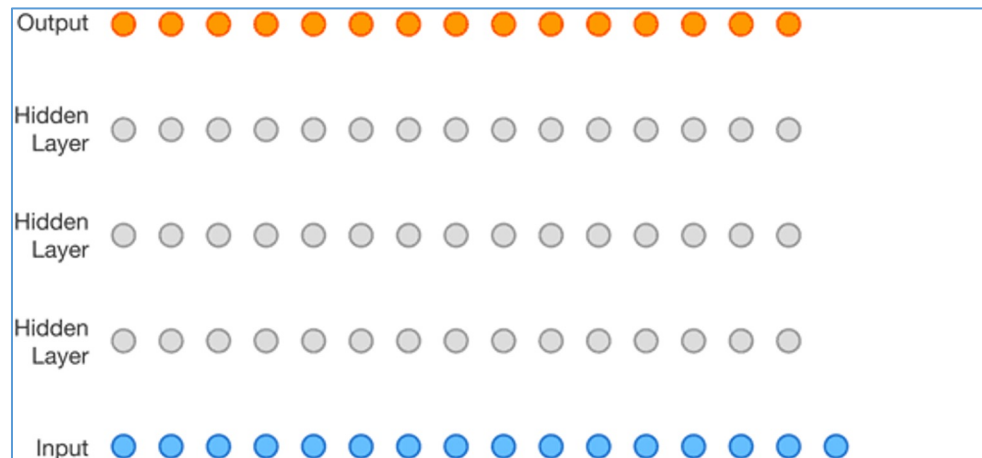
Indirectly Supervised Natural Language Processing



# Do We Need Supervision?

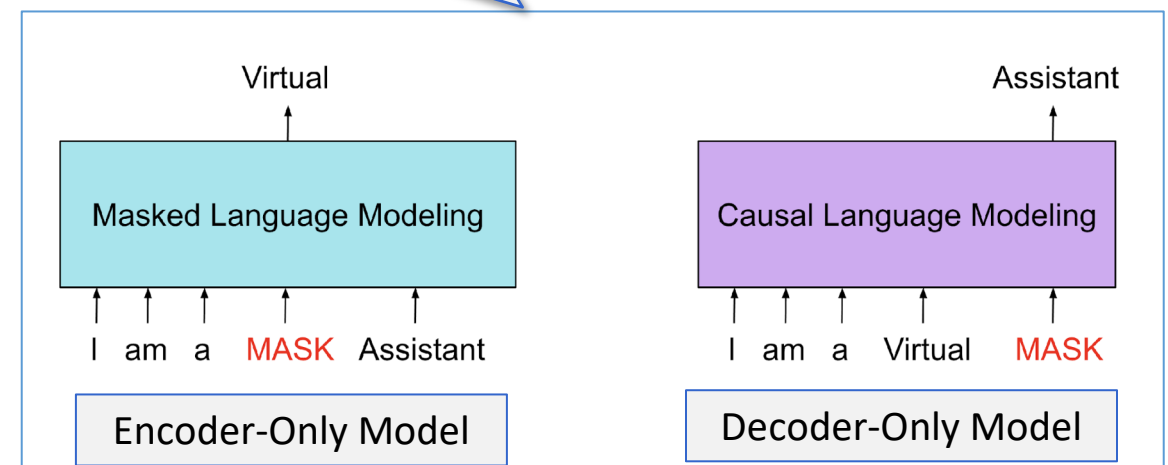


- All parameters in NLP networks are initialized via **pretraining**.
- Pretraining methods hide parts of the input and train the model to reconstruct those parts.



This **self-supervision** is the key reason for the original excitement with LLMs: the hope that we can get around the need to annotate a lot of data for supervised machine learning.

But this is **no longer true**. All the very large models use huge amounts of **supervision** and **RLHF** (Reinforcement Learning with Human Feedback) data that is more costly than earlier supervision protocols.



# What Have We Learned?



- We learned that it's possible to generate effective supervision

**Without** manual annotation

**Without** training directly for the task at hand

- Not new

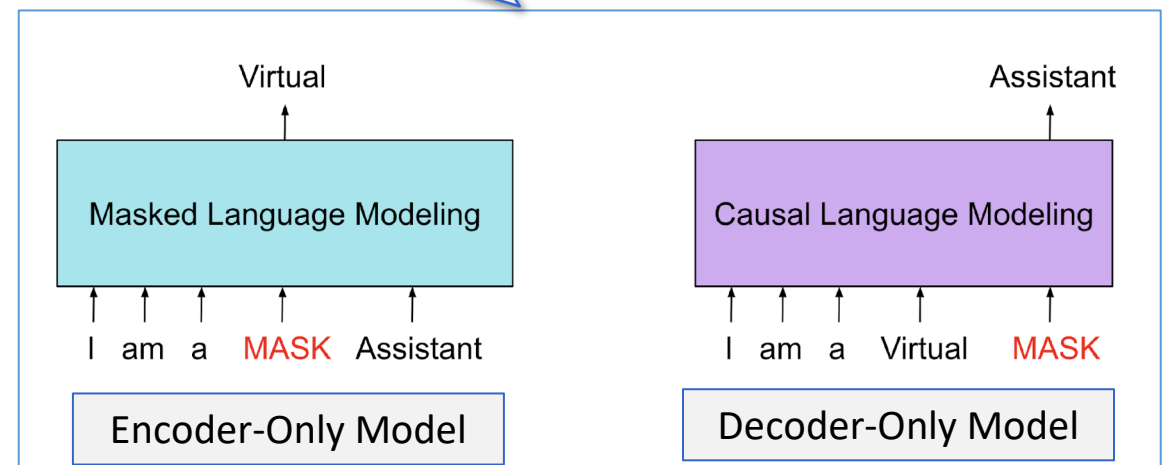
All the literature on Context Sensitive Spelling ([accept/except; the/that,...](#)) and (ESL) Text Correction is based on this self-supervision paradigm [Golding & Roth ICML'96]

- **Needs to be generalized**

*This tutorial*

And applied to many machine learning tasks  
Since “simplistic” self-supervision isn't good enough to support most tasks

This **self-supervision** is the key reason for the original excitement with LLMs: the hope that we can get around the need to annotate a lot of data for supervised machine learning.





- Data provides hints

Data exists independent of the task(s) at hand.

These “hints” are often sufficient to infer supervision signals for a range of tasks

O'Hare must be in Chicago

- Feb 5 2017 Dozens of passengers heading to Chicago had to undergo additional screenings... It took Hesam Aamyab two tries to make it back to the United States from Iran. He is an Iranian citizen with a US visa who is doing post-doctoral research at UIC. ..."Right now, I am in the USA and I'm very happy," Aamyab said. But now, he can't go back to Iran or anywhere else without risk. Other travelers shared the same worry. Asem Aleisawi was at O'Hare on Sunday to meet his wife who was coming in from Jordan.

Learning/Supervision requires some level of reasoning to infer these weak signals

## ■ Images

Difficult to label objects/faces

Easy to learn same/different

- Two objects in the same image are different
- Two consecutive video frames are likely to contain the same objects.

A way to train intermediate representations that make the eventual labeling/prediction easier

- Not the only way; but all realistic/scalable ways need to go through indirect supervision



- Data provides hints

  - Data exists independent of the task(s) at hand.

  - These “hints” are often sufficient to infer supervision signals for a range of tasks

- Utilizing these hints

  - Can be substantially less costly than producing explicit annotation

  - More realistic – provides signals for tasks we haven’t defined

  - Weak signals can be aggregated to produce higher quality signals

- Examples:

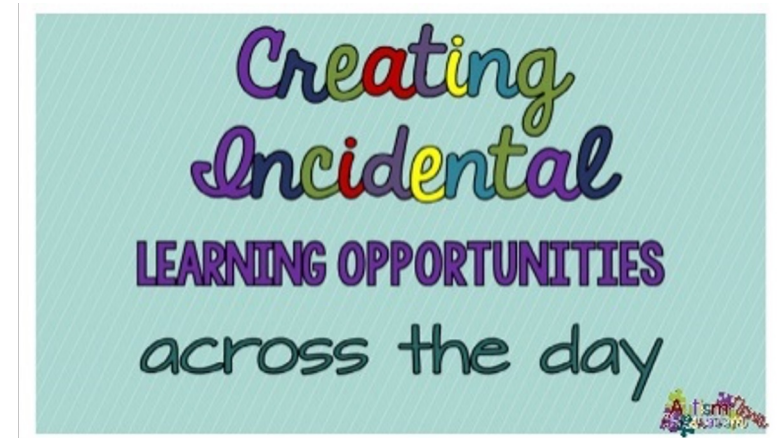
  - Classification Tasks

  - Structured Prediction Tasks

  - Multimodal Tasks

  - Commonsense

  - .....



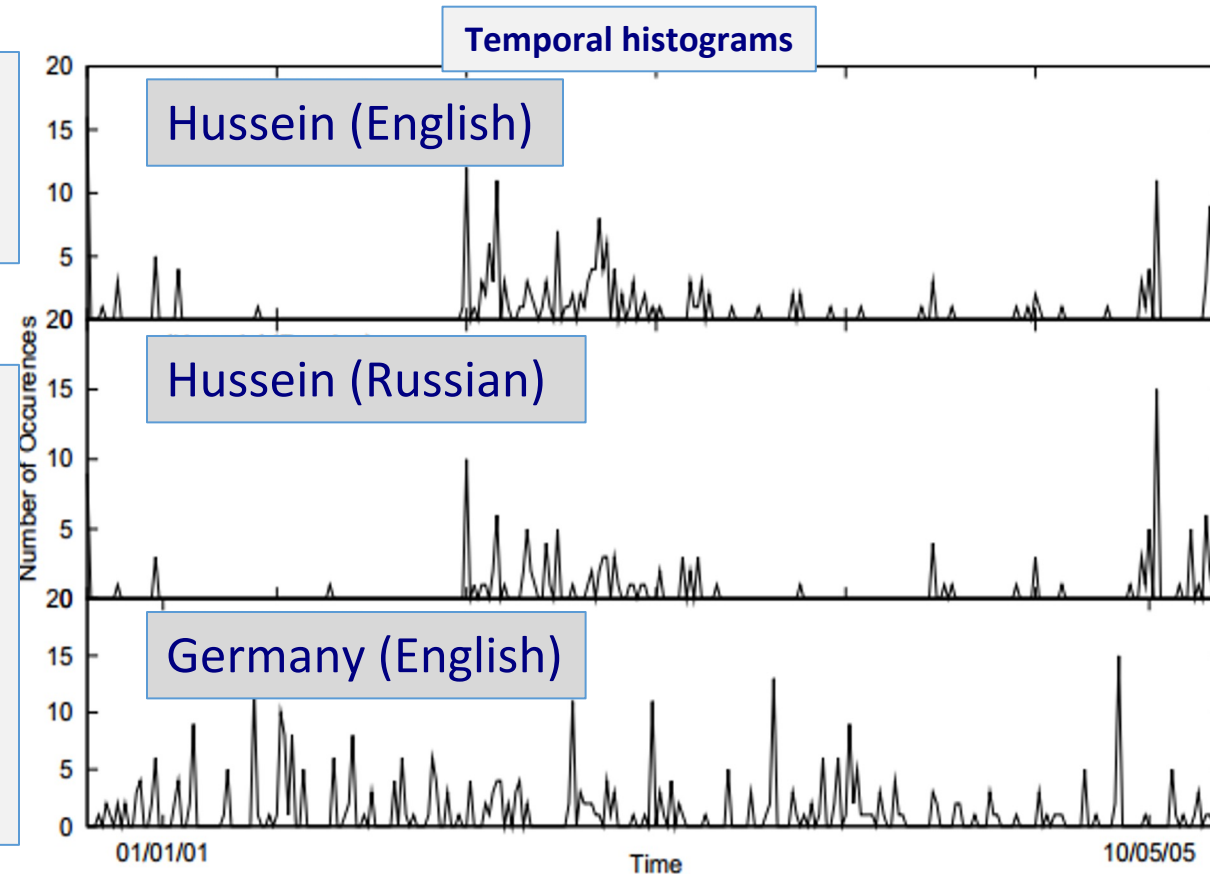
# Diverse Supervision Signals



- Searching for supervision signals could be challenging.
- It is **incidental**, in the sense that it provides some signals that might be **co-related** with the target task and may not be useful all the time.

Assume a **comparable**, weakly temporally aligned news feeds.

Weak synchronicity provides a cue about the relatedness of (some) NEs across the languages, and can be exploited to associate them  
[Klementiev & Roth, 06,08]



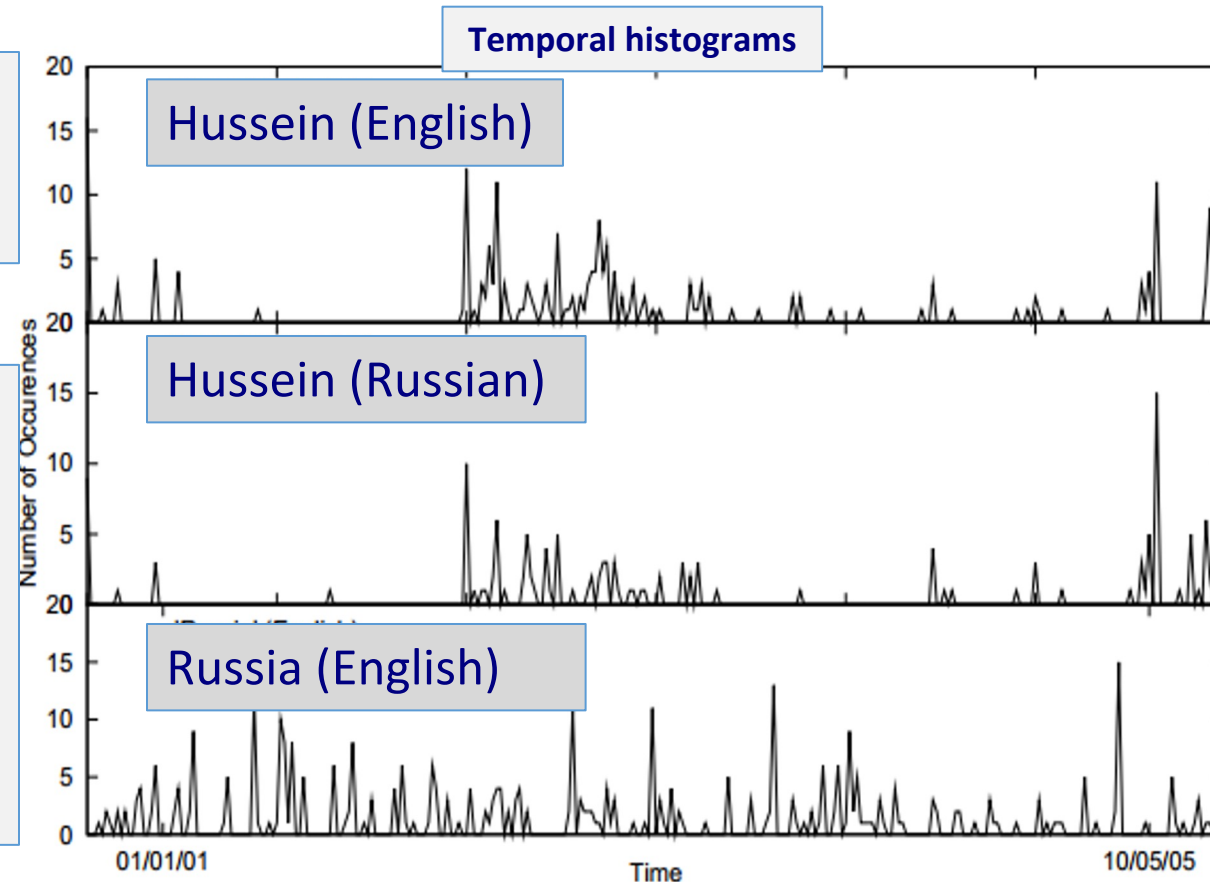
# Diverse Supervision Signals



- By itself, this temporal signal may not be sufficient to support learning robust models.
- Along with **weak phonetic signals**, **context**, **topics**, etc. it can be used to get robust models.

Assume a **comparable**, weakly temporally aligned news feeds.

Weak synchronicity provides a cue about the relatedness of (some) NEs across the languages, and can be exploited to associate them  
[Klementiev & Roth, 06,08]





# Solving NLP

We need many forms of indirect supervision.

We call this “**incidental supervision**” [Roth, AAAI’2017] since, unlike “standard supervision”, we don’t annotate for it, and we don’t assume it is exhaustive; sometimes it’s there and we use it.

- Even if we think/hope that generative AI will move us forward
- We still need supervision
  - Alignment with human expectations
  - Fine-tuning of models
  - Verification: is this piece of text supported by this evidence?
  - Classification into organization-specific taxonomies (e.g., medical)
  - Naming visual events
  - Text, images, video retrieval
  - ....
- We will never have **enough annotated data** to train **all the models, for all the tasks we need**
  - We** do not learn by “training” on many examples
- Direct supervision is not scalable and, often, makes no sense
  - Complex tasks annotation is often **impossible**.

“understanding” Events



When and Where?



# The language-world mapping problem

[BabySRL: Connor, Fisher & Roth, 2012]

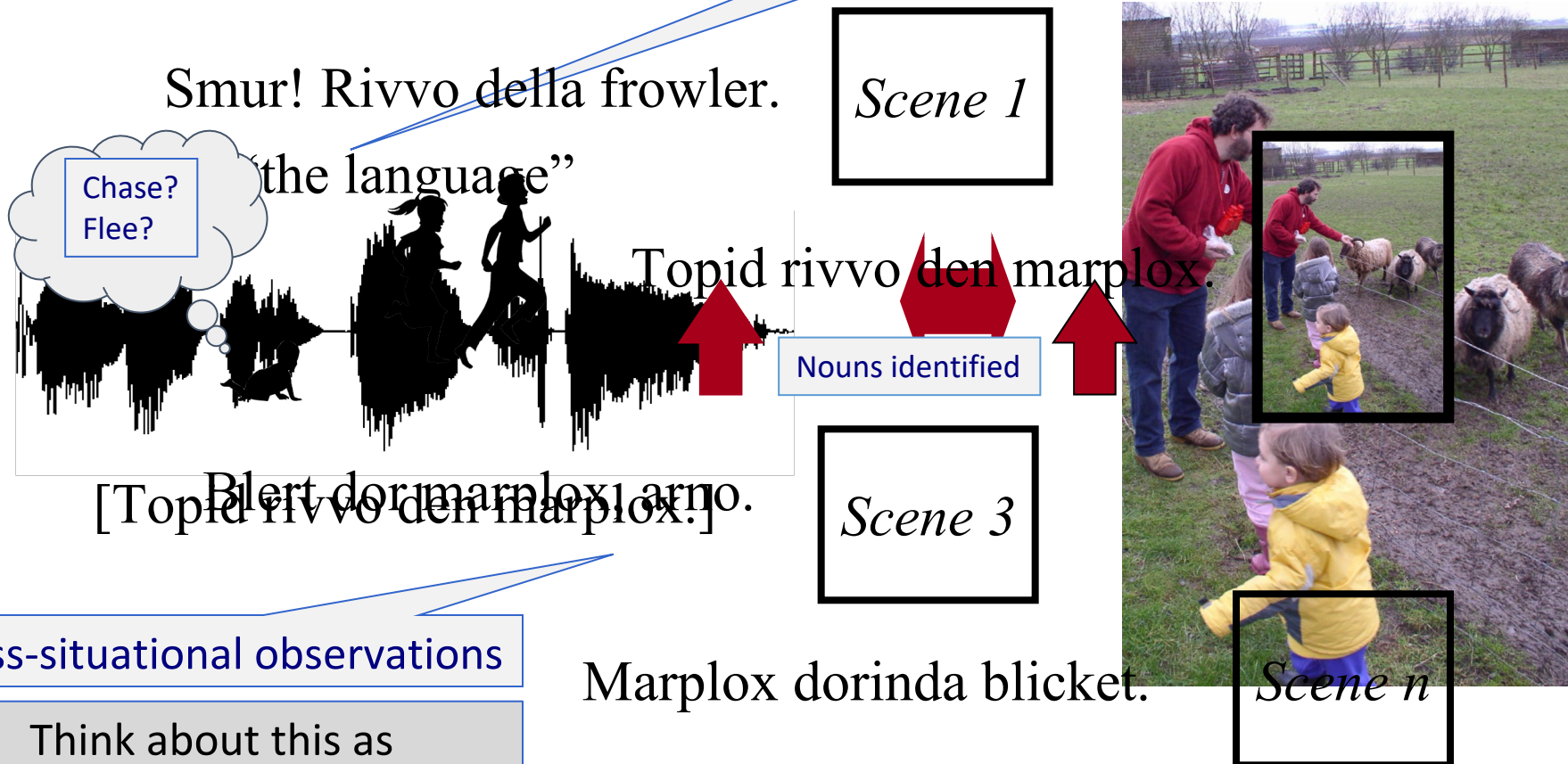


Behavioral feedback is needed!

- Take inspiration from language acquisition?

Clearly, a lot of incidental supervision

Harder problems (understanding verbs) bootstrap from easier “the world”

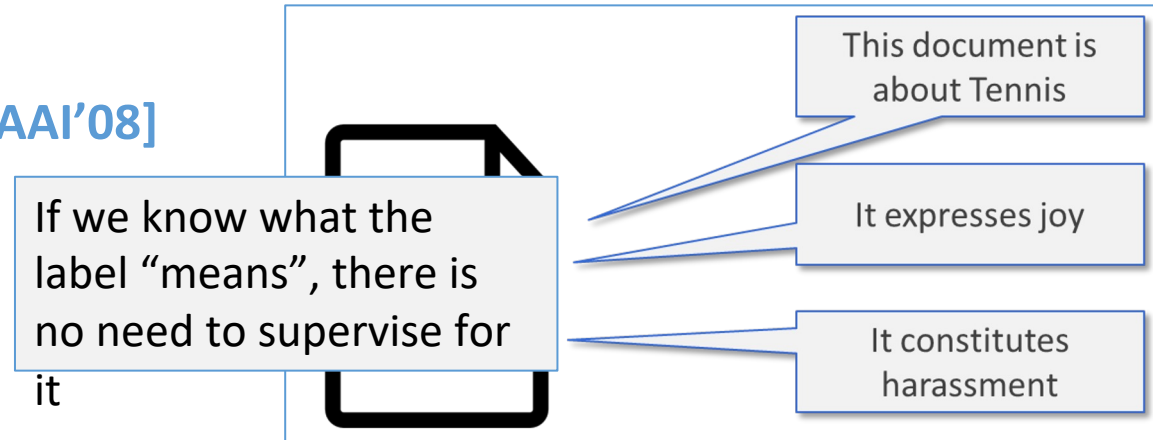


Think about this as  
comparable text

# Sources of Incidental Supervision



- Representation-driven:  
Label-aware: the basis of zero-shot [Chang et al. AAI'08]
- Knowledge-driven  
Enrichment of the text with existing knowledge
- Constraints-driven:  
Expectation from the output
- Alignment-Driven:  
comparable text; multimodal
- Behavior-driven:  
It's end-to-end



In Los Angeles that lesson was brought home today when tons of earth **cascaded** down a hillside, **ripping** two houses from their foundations. No one was **hurt**, but firefighters **ordered** the evacuation of nearby homes and said they'll **monitor** the shifting ground until March 23<sup>rd</sup>.

We have **strong expectations** from the output:  
(1) Transitivity (2) Expectations on "typical" order of events.

Who are the Europeans female tennis players who made the most money in the last 10 years?

~~SQL~~

1. Halep
2. Wozniacki
3. Azarenka
4. Kvitova
5. Kerber
6. ...

---

# This Tutorial

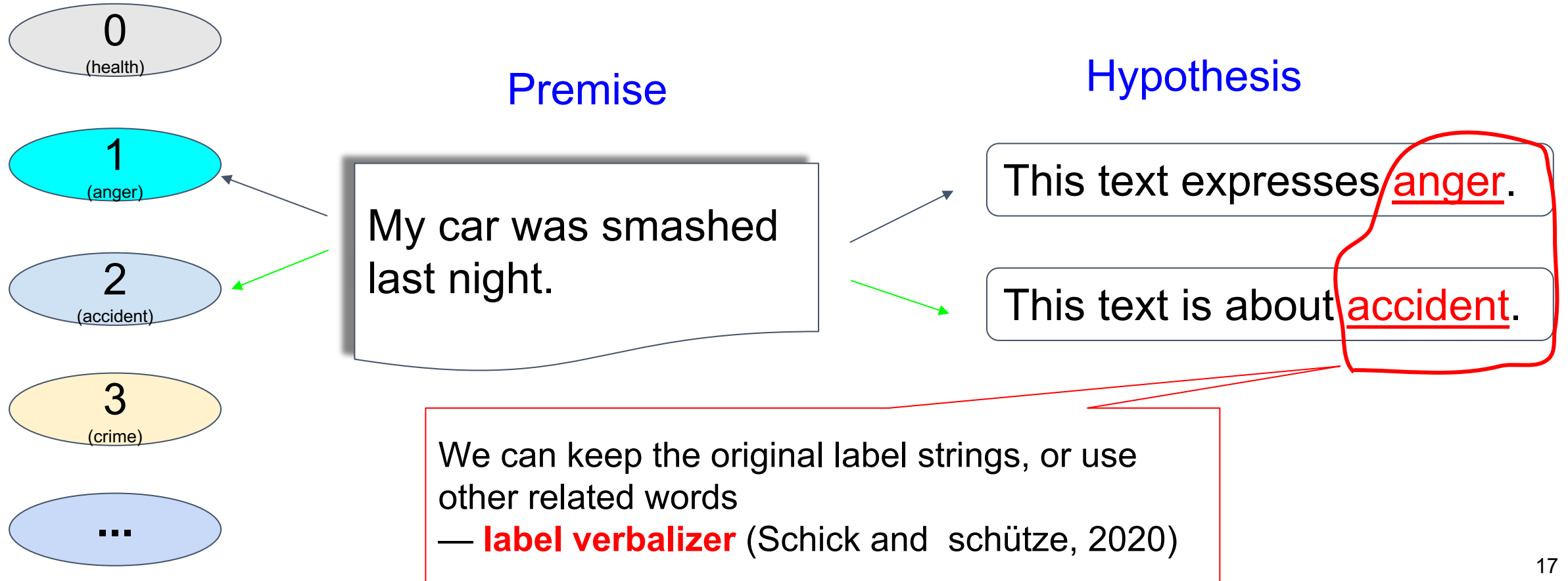
# Tutorial Outline



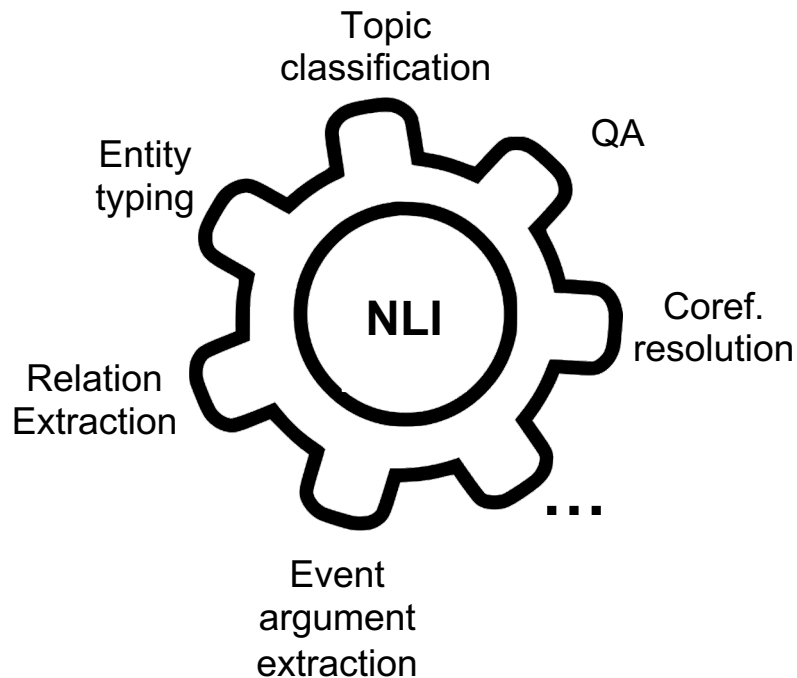
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Qiang Ning
- Indirect Supervision from Multi-modalities 25 + 5 min.  
Kai-Wei Chang
- Conclusion and Future Work 15 min.  
Dan Roth

## Zero-shot text classification

## Natural language inference



## Implementation & Applications



## Benefits

- ❑ Scarce-annotation NLP
- ❑ Cross-task transferability
- ❑ Maximize the potential of small PLMs

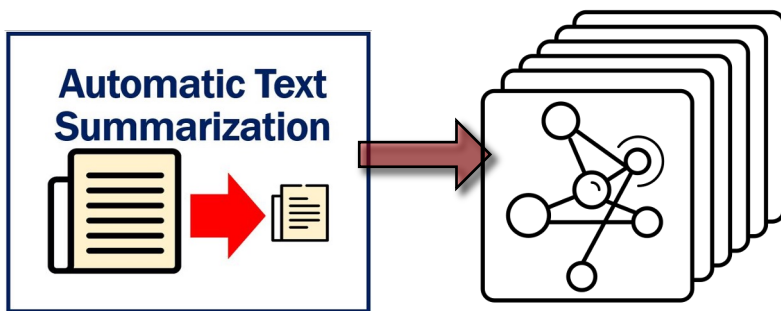
## Challenges & Solutions

- ❑ Domain discrepancy (solutions by algorithm and data threads)
- ❑ Inefficiency in testing (parallel-NLI)
- ❑ cannot discover new labels (next chapter...)

# Indirect Supervision from Text Generation



## 1. Constrained Generation as Indirect Supervision



## 2. QA as Indirect Supervision

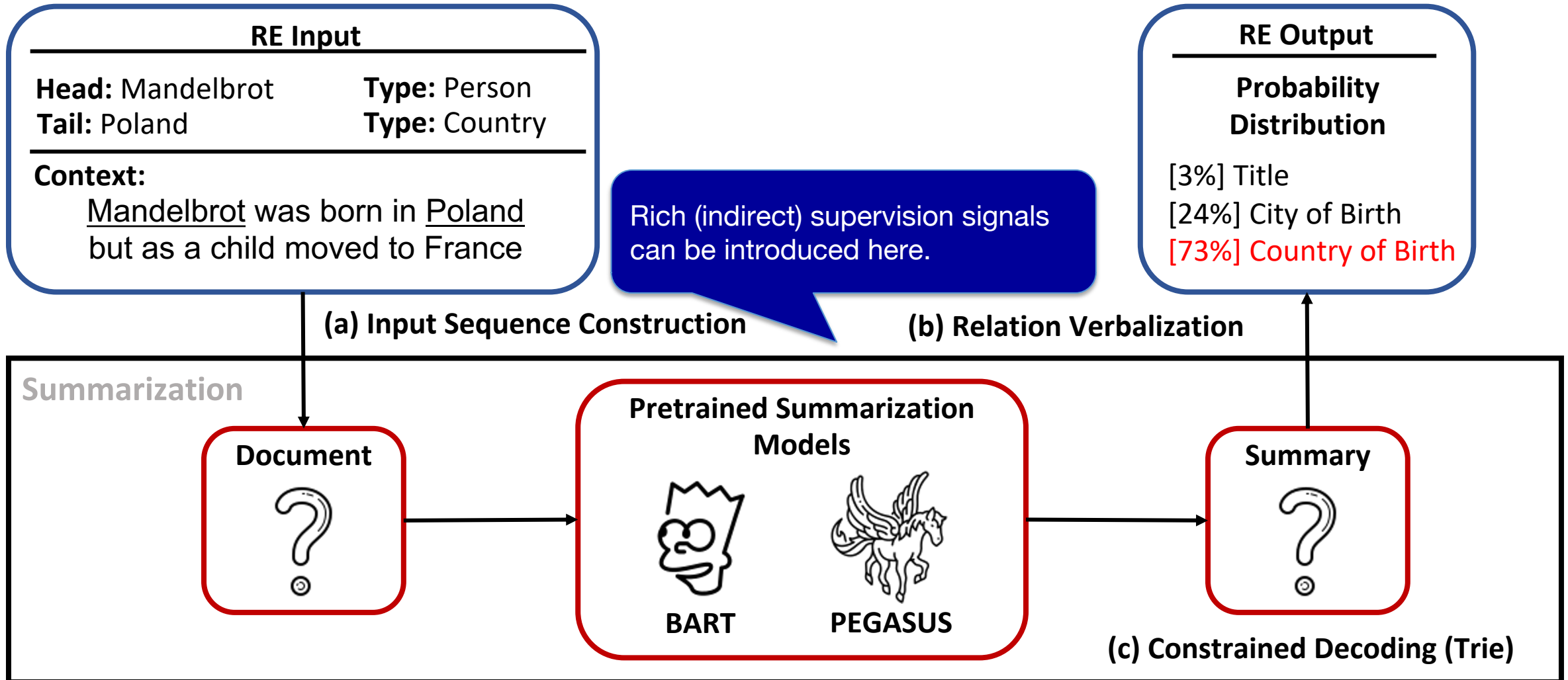


## 3. IR as Indirect Supervision





# Constrained Decoding as Indirect Supervision

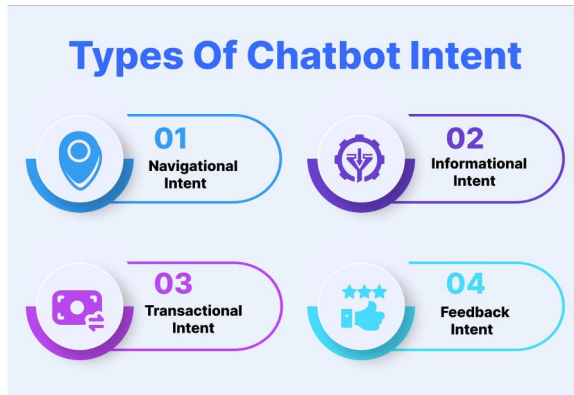


Allowing supervision signals to be transferred from rich summarization resources (CNN/Daily Mail, XSUM) or pretrained models (BART-CNN, Pegasus).

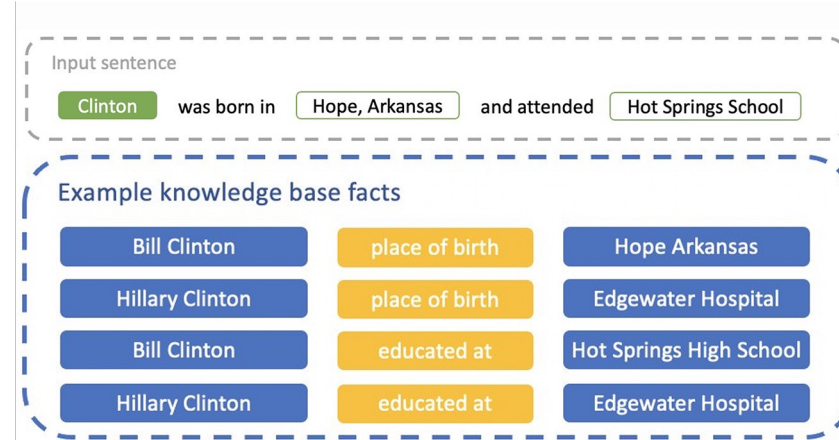
# Dense Retrieval as Indirect Supervision for Large-space Decision Making



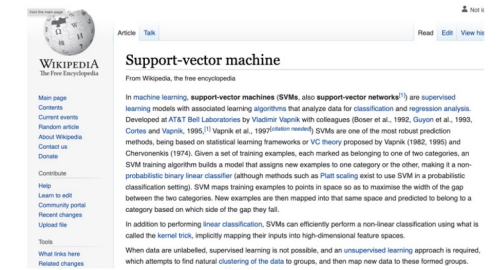
- NLU tasks may have hundreds to millions of decisions



Intent Detection

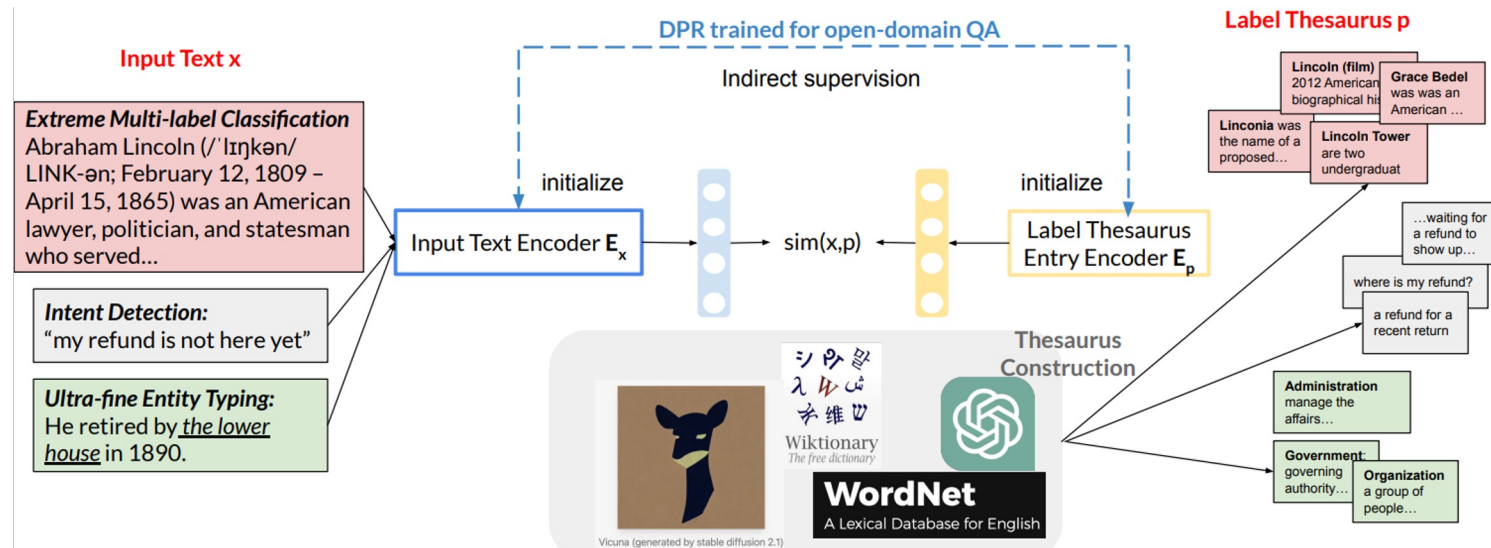


Entity Typing and Linking



Tags:  
machine learning  
AI  
supervised learning

Extreme multi-label classification (XMLC)



Learning to retrieve from a decision thesaurus as a general solution

- Natural Texts are structured to contain rich information

How to generalize beyond the simple-minded pre-training done today?

Pre-trained language models (LMs) are a great proxy to use NT “incidentally”

However, they are flawed in a few major ways

- Cannot accurately capture local relational information (relation type / numbers)
- Cannot efficiently connect global information (e.g., more than one documents)
- Large LMs lack controllability without direct supervision (which can be hard to integrate)

Due to reporting biases, these flaws limit LM’s reasoning capabilities.

- In this section of our tutorial, we discuss

How local texts can be more efficiently parsed and injected into models

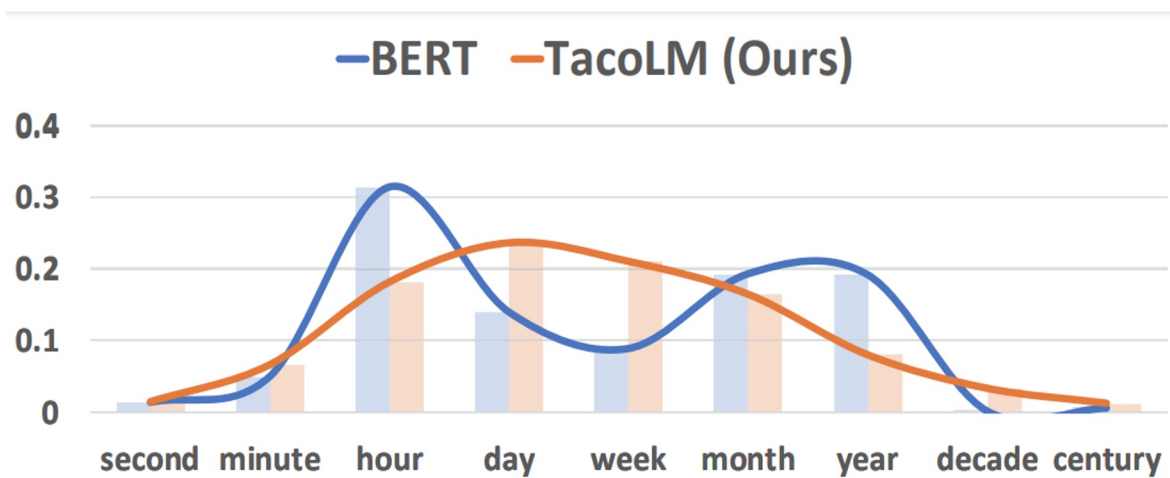
How to utilize global information from natural texts

How LMs can be used to viewed as a generator of incidental signals from NT

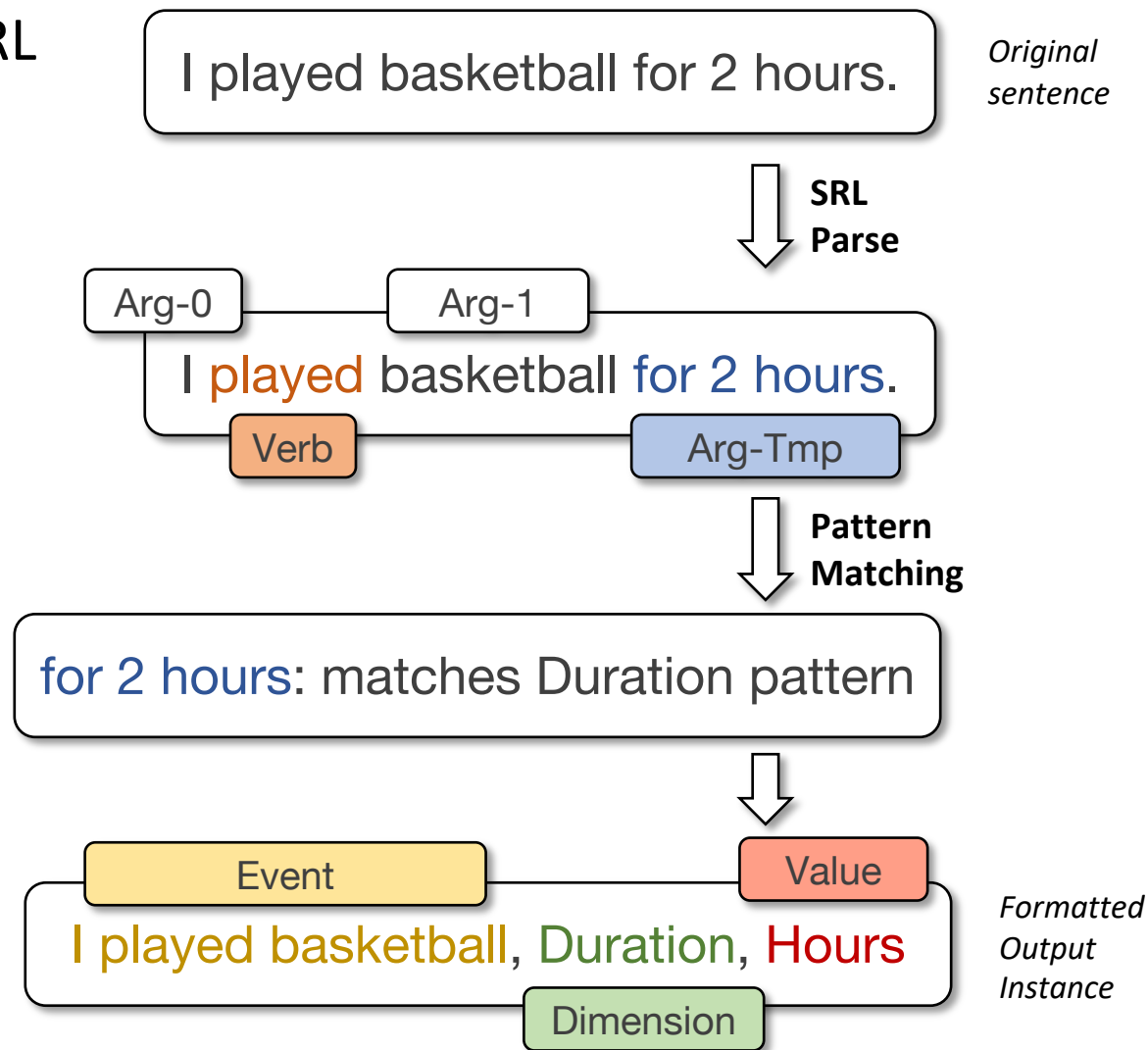
# Capture Local Information



- Use high-precision patterns based on SRL



Averaged duration prediction on a set of events with gold durations of "days"



## ■ Cross-sentence extraction

Based on explicit temporal expressions


Independent of event locations

Produces relative distance between start times

**text**  
I went to the park on January 1<sup>st</sup>. I was very hungry after some hiking. Luckily, I purchased a lot of food before I went to the park. I enjoyed the trip and wrote an online review about the trip on the 10<sup>th</sup>.

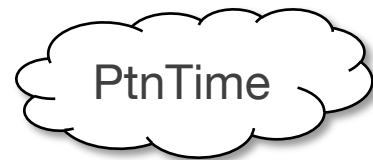
**within-sentence**  
[I purchased food, I went to the park.]: **before**

**cross-sentence**  
[I went to the park, I wrote a review]: **before**, weeks



I went to the park

I write a park review



Event 1 starts **before** Event 2

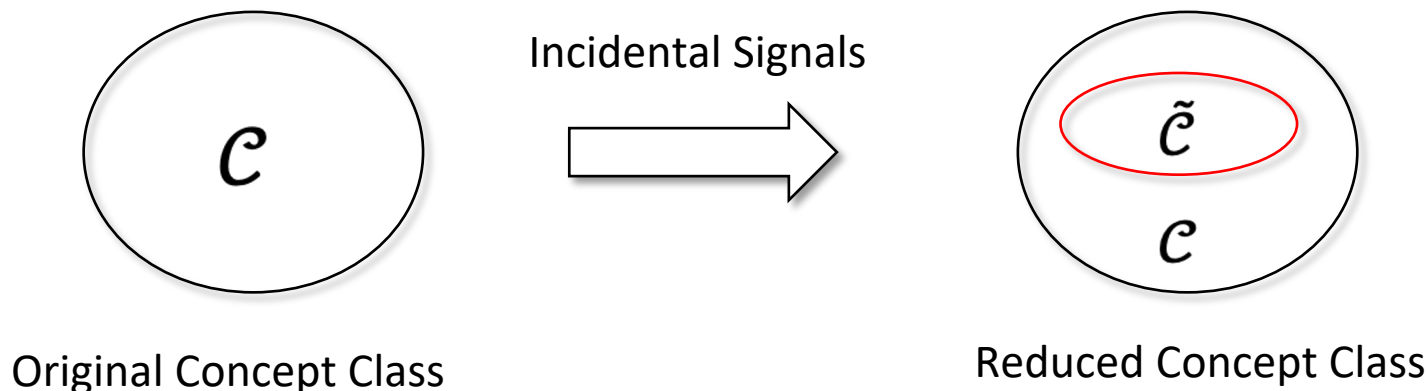
Interval between start times is most likely:

0.0	0.1	0.2	0.3	...
seconds	minutes	hours	days	...



Why do incidental signals help learning?

- $c: X \rightarrow Y, \text{ where } c \in \mathcal{C}$
- Learning theory shows that **the size of the concept class** determines the “easiness” of the learning problem
  - E.g. the generalization bound  $R(c) \leq \hat{R}(c) + \sqrt{\frac{\ln|\mathcal{C}| + \ln\frac{2}{\delta}}{2m}}$
- We will show that the use of incidental signals reduces the size of the concept class, and then will use **the relative size of the reduction as a measure for the informativeness of the incidental signals**



$$s(c, \tilde{c}) = \sqrt{1 - \frac{\ln|\tilde{\mathcal{C}}|}{\ln|\mathcal{C}|}}$$

Smaller  $\tilde{\mathcal{C}}$  leads to higher Informativeness  $S$

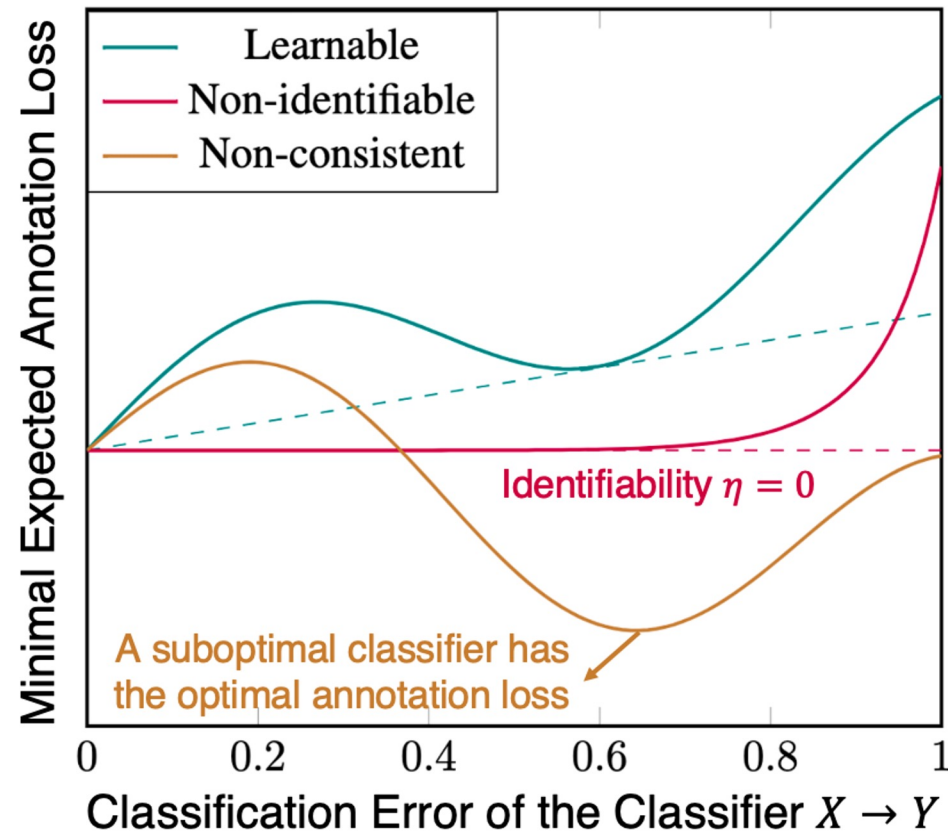
Reduce the concept class from  $\mathcal{C}$  to  $\tilde{\mathcal{C}}$

# Learnability Condition: Overview



To illustrate the learnability condition, we plot the relationship between the classification error of a hypothesis  $h$  and the minimum annotation loss (risk) it can have (over choices of transition hypotheses).

Under what conditions are incidental signal sufficient to support learning?



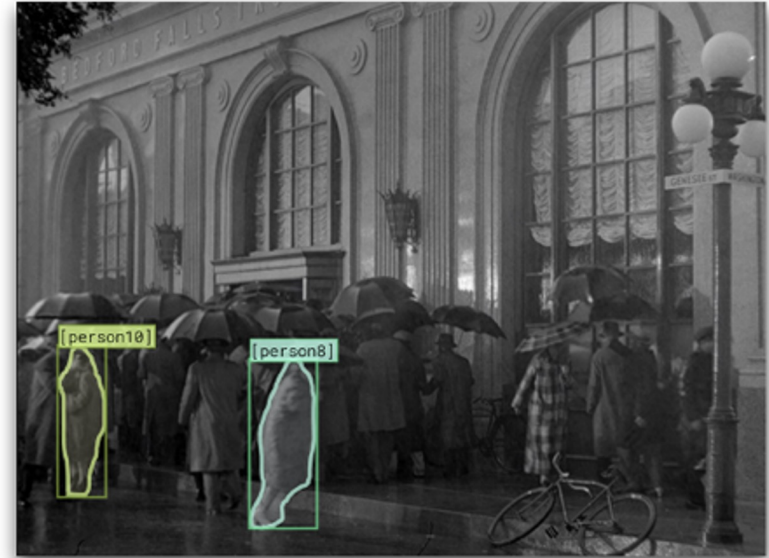
Several people **walking** on a **sidewalk** in the **rain** with **umbrellas**. *Main training objective is to predict missing words.*



**VisualBERT** *The model projects words and image regions into the same vector space and uses multiple Transformer layers to build joint representations.*



Several people [MASK] on a [MASK] in the [MASK] with [MASK]. *Input consists of an image and a caption with some masked words. Such data is easy to obtain from the internet.*



**Is it raining outside?**

- a) Yes, it is snowing.
- b) Yes, [person8] and [person10] are outside.
- c) No, it looks to be fall.
- d) Yes, it is raining heavily.

*An example from the VCR dataset*

**Unsupervised pre-training on vision and language**

**Transfer to answering commonsense question**



# Generating Pseudo Grounding Data from Captions



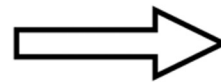
- Learn to align objects in image and phrases in text

Train a teacher model with gold grounding data; produces boxes given image-caption data

Distant supervision assumption: objects in the images are likely to be mentioned in captions

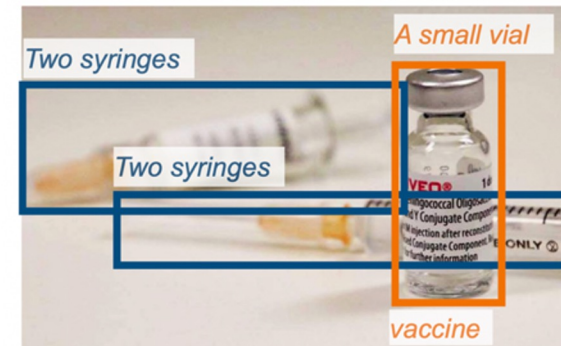


Two syringes and a small vial of vaccine.



Teacher GLIP

Trained on gold detection & grounding data



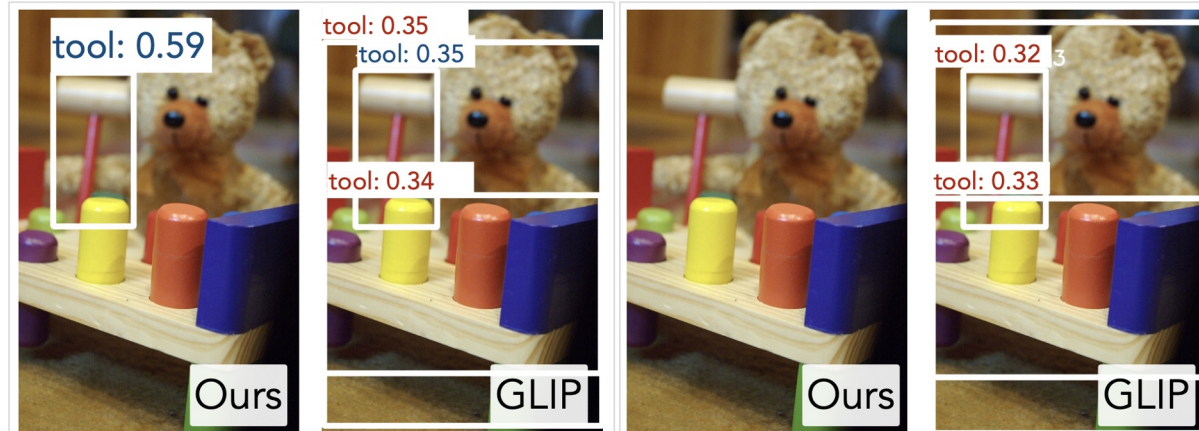
Two syringes and a small vial of vaccine.

- Using LLM as commonsense engine to specify visual concepts
- Enforcing VL models to align objects with rich description

Detect with specifications for shape & subpart  
(w/o object name)

Target Object

Confusable Object



A kind of tool, wooden handle with a round head, used for pounding or hammering

A kind of tool, long handle, sharp blade, could be used for chopping wood

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